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CU.2140

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Raa et al. ) NOVEL, NON-ANTIGENIC,  
Application Serial No.: 09/511,582 ) MUCOSAL ADJUVANT  
Application Filing Date: 02/23/2000 ) FORMULATION WHICH ENHANCES  
THE EFFECTS OF SUBSTANCES,  
INCLUDING VACCINE ANTIGENS, IN  
CONTACT WITH MUCOSAL BODY  
SURFACES  
Group Art Unit:1648  
Examiner: J Stucicer

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Box AF  
Washington, D.C. 20231

Sir:

Please find enclosed the following in the above referenced patent application:

1. Notice of Appeal;
2. Petition for Extension of Time; and
3. Check in the amount of \$160.00 for Notice of Appeal fee and check for \$460.00 for three month extension fee.

Please charge any additional fees or credit any overpayment to **Deposit Account No. 23-2126**. A duplicate of this transmittal is enclosed.

Please acknowledge receipt of the above by returning the enclosed stamped, self-addressed receipt postcard.

Date: 10/3/02  
Wildman, Harrold, Allen & Dixon  
225 West Wacker Drive  
Chicago, IL 60606  
Ph. (312) 201-2000  
Fax (312) 201-2555

Respectfully submitted,  
By: [Signature]  
Timothy J. Keefer, Reg. No. 35,567

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for patents, Washington, D.C. 20231 on

10/3/2002  
[Signature]  
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#21  
N/A Appeal  
10/16/02  
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PTO/SB/31 (02-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES</b>	<b>Docket Number (Optional)</b> CU.2140
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Assistant Commissioner for Patents, Washington D.C. 20231" on <u>10/3/2002</u>  Signature <u>Mindy Fitch</u> Typed or printed name <u>Mindy Fitch</u>	In re Application of <u>Raa ēē al.</u>	
	Application Number <u>09/511,582</u>	Filed <u>02/23/2000</u>
	For <u>Novel, Non-Antigenic, Mucosal:::</u>	
	Group Art Unit <u>1648</u>	Examiner <u>J Stucicer</u>

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b)) \$320.00

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ 160.00
- ☒ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 23-2126. I have enclosed a duplicate copy of this sheet.
- ☒ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

- I am the
- ☐ applicant/inventor.
  - ☐ assignee of record of the entire interest.  
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
  - ☒ attorney or agent of record.
  - ☐ attorney or agent acting under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a): \_\_\_\_\_

[Signature]  
Signature  
10/3/02  
Date

Timothy J. Keefer, Reg. No. 3511561  
Typed or printed name  
Date \_\_\_\_\_

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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